

Adult Social Care Serious Incident Audit Template

LAR ID Number	10187502	Type of Case	Transitions		
Reason for Audit	Serious Incident	Audit Date	01/08/2024		
Case Allocated? <i>(Named worker)</i>	Suzanne Walmsley	Worker	Suzanne Walmsley		
Team Manager	Emma Clough	Auditor	Kerry Ross		
Team	County Wide Learning Disability & Autism	Primary Category	Learning Disability & Autism		
BARic Demographics					
Age	17	Gender	Male	Ethnicity	African
Consent Gained	No	Capacity Gained	Not considered		

SERIOUS INCIDENT

1. Individual's Background:

- AR is a 17-year-old man.
- A review of AR children's social care records provides the following background:

Previous children's and/or health information:

- LCC children's safeguarding aware of AR during pre-high school years, confirmed diagnosis high functioning autism and had EHC plans.
 - Excluded from school due to difficult behaviours.
 - AR attended school with a knife and hockey stick to assault and injure a child who had been bullying him, that child was not in school that day and AR made an assault on another child.
 - A case note with information from Acorns School details a history of concerns, including:
 - Taking in weapons with intent to cause harm on 10+ occasions.
 - Researching American high school shootings on the internet.
 - Researching beheadings.
 - CAMHS made urgent calls to Childrens' Social Care to disclose emotional abuse at home.

○ AR was prescribed but non-compliant with sertraline, compliant with melatonin which had good effect. ○ March 2022 – missing in possession of knife. Return home interviews completed. • There are no risk Assessments in place on the Adult Social Care Case Management File.
2. Incident Chronology – if anything to add near the timeline of event: • Please refer to the senior management escalation form for multi-agency significant events leading up to the incident.
3. Service Involvement: This section details involvement by Adult Social Care Only. • 09th February 2022 referral received into Transitions Services by Children's Social Care. • 21st February 2022 Case allocation to Transitions Worker, Matthew Embley. • 08th August 2022 Matthew Embley was ceased as AR's Allocated Transitions Worker. • 10th August 2022 Case allocation to Transitions Worker, Suzanne Walmsley. • 14th August 2023 Email from the worker to the family to arrange visit. • 09th November 2023 Suzanne visits family – this was recorded on case notes on the 30th July 2024.

CARE WORK AUDIT		
Personal and family background	Rating	Inadequate
Describe what evidence is available which demonstrates required standards		
There is no draft or completed assessment on the case record. A case note was put on the system on the 30th of July 2024 detailing a visit that was undertaken on the 09th of November 2023. The worker has discussed her role with AR's parents, but there is no evidence that she has taken time to understand the diverse characteristics of AR, or his family. There is some detail of needs but no detail of what is important to AR.		

The worker has access to the Children's Social Care case system, but there is no evidence that this was explored to understand and review AR's history and current situation. There are missed opportunities to identify risk from this system.

The case note entry does not tell the person's story and AR was not involved in the process. Therefore, AR's voice is missing. There is no evidence that the worker tried to engage AR in conversation. There are no follow up visits or referrals to advocacy to attempt to engage AR.

There is no mention of AR's brother who was known to Children's Social Care, CAMHs, Transitions Service, and Adult Social Care.

The case note focuses on the need for prompting and social isolation due to significant anxiety. The worker does explore the opportunity for formal care nearer the time of AR's 18th Birthday.

Person's Desired Outcomes	Rating	Inadequate
<i>Describe what evidence is available which demonstrates required standards</i>		
There is no evidence of exploration of AR's desired outcomes. There is no evidence of attempt to engage AR in conversation.		
There is no focus on AR's wellbeing, although there is note that AR is struggling with anxiety which is impacting him significantly.		
AR's voice is not 'heard' and there is little to no recognition of his strengths and abilities.		
There is an assumption and discussion that AR needs a service when he is 18.		
There is little evidence that the worker has taken time to get to know AR, have a meaningful conversation with AR or enabled AR and his family to draw upon their personal, social and community strengths.		

Key Events Records

ARssessment	Rating	Inadequate
<i>Describe what evidence is available which demonstrates required standards</i>		
There is no draft or completed assessment for AR. A case note was put on the system on the 30 th of July 2024 detailing a visit that was undertaken on the 09 th of November 2023.		
The case notes details some need and a discussion about AR with his parents. There is little focus on AR's wellbeing.		

There is a note on the Children's Social Care system that a disclose of emotional abuse within the family home from CAMHS. AR a result of this advocacy may have been beneficial to ensure AR's voice was heard, and to attempt to engage AR in his assessment process.

It is assumed that the assessment was not completed; however, the case note does not clearly identify AR's needs in relation to the Care Act, yet formal care and support was going to be explored upon AR's 18th Birthday.

There is little discussion of strengths or preventing and/or delaying future needs from arising. There is a suggestion that if AR requires some support before his 18th Birthday, then family should approach and discuss with Children's Social Care.

There is a discussion around education, and dad highlighted that AR is no longer enrolled. The worker expressed that she did not think that this was accurate but did not display professional curiosity. Had The worker explored this further or accessed his Children's Social Care record to explore key events, The worker would have been highlighted to significant risks.

AR is described AR living with high functioning autism and anxiety. AR would not engage in conversation with the worker and went and sat upstairs. There is no consideration within the case record system AR to whether a mental capacity assessment may be required for decision making, particularly AR the worker and AR's family were agreeing to formal care and support closer to AR's 18th Birthday.

The case note does not tell AR's story, nor does it focus on his strengths, wishes, feelings or outcomes.

There is no exploration of risks to AR, or risks by AR in the discussions with family.

There appears to be a lack of legal literacy and professional curiosity.

Care and Support Plan	Rating	Not Applicable
<i>Describe what evidence is available which demonstrates required standards</i>		
There is no care and support plan as AR is not yet 18.		
Review/ReARsessment	Rating	Not Applicable
<i>Describe what evidence is available which demonstrates required standards</i>		
Not applicable.		
Overall CARE Work Conclusion	Rating	Inadequate

Aa discussion with the worker has not been included AR part of this case audit. The case audit has been completed AR a desktop exercise AR a result of the serious incident that occurred on the 29th of July 2024.

Overall, the case work is inadequate.

AR's voice is not heard within the case record system. He did not engage with the worker, but there is no evidence of attempt to engage AR. There is little to no focus on strengths, and no clear Care Act eligibility criteria applied, although there is discussion of formal care and support being prescribed upon AR's 18th Birthday.

There is no evidence The worker has gotten to know AR in the 21 months that she has been his allocated worker. There is no evidence of professional curiosity, and no evidence that the worker gathered information from the range of professionals already involved in AR's life. The worker has access to AR's Children's Social Care record, but there is no evidence of gathering information from these records to support her to build a holistic picture of AR's background and current situation.

The worker's legal literacy is not evident within the case management system and there is no consideration of risk.